



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No. A01489  
KRJ/sd

In re application of:  
Otto C. Ziemann et al : Confirmation No. 5214

Serial No.: 10/772,984 : Group Art Unit: 1712

Filed: 02/05/2004 : Examiner:

For: Aqueous Composition and Method of Preparing  
Nonyellowing Coating Therefrom

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Supplemental Information Disclosure Statement

List of Art Cited (Form PTO 1449)

Cited Art  
Postcard

July 13, 2004  
Date

Shelly Deinny  
Signature

IFW



**PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No. A01489  
KRJ/sd

In re application of:

Otto C. Ziemann et al : Confirmation No. 5214

Serial No.: 10/772,984 : Group Art Unit: 1712

Filed: 02/05/2004 : Examiner:

For: Aqueous Composition and Method of Preparing  
Nonyellowing Coating Therefrom

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with applicants' duty of disclosure under 37 C.F.R. § 1.56(a) and pursuant to 37 C.F.R. § 1.97 - 1.98, Applicants submit herewith copies of the documents listed on the enclosed Form, PTO-1449 "List of Art Cited by Applicant." Inclusion of a document in this Information Disclosure Statement is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 C.F.R. § 1.56(a) exists.

The Examiner may find the enclosed documents relevant to the invention of the present application.

Enclosed is European Search Report for corresponding European Application Number EP 04 25 0639 dated June 24, 2004.

As per the OG, August 05, 2003, waiver of Rule 37 CFR 1.98 (a) (2) (i), Applicants have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

CERTIFICATION

Check one of the following two paragraphs

[X] The additional references cited herewith were cited in a communication from a non-US patent office regarding a non-US counterpart application not more than 3 months prior to the filing of this statement, or

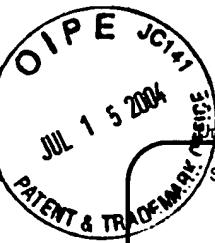
[ ] The additional references were not cited in such a communication and were not known to any individual having a duty of disclosure more than 3 months prior to the filing of this statement.

Respectfully submitted,



Kim R. Jessum  
Attorney for Applicants  
Registration No. 43,694  
Telephone No.: 215-592-3689

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Do not submit a collection of information unless it contains a valid OMB control number.

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	10/772,984
		Filing Date	02/05/04
		First Named Inventor	Ziemann
		Art Unit	1712
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	A01489

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 599 478 A1	06/01/1994	Rohm&Haas Co.		
		EP 0 844 284 A1	05/27/1998	Nitto Chemical		
		EP 0 372 804 A2	06/13/1990	RTZ Chemicals		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.